

02/28/02

1060 U.S. PTO

3-4-02

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PTO/SB/05 (03-01)

App. Use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. NIIN-P02-010

First Inventor Mel Epstein

Title USE OF METHYLPHENIDATE COMPOUNDS TO  
ENHANCE MEMORY

Express Mail Label No. EL920 874 696US

PTO  
U.S.  
10/087232

02/28/02

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **89**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **11**]
5. Oath or Declaration [Total Pages **1**]
- a. ☒ ~~signed~~ **unsigned** (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**FEES NOT PAID  
AT THIS TIME**

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: **Express mail declaration**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: **09, 941,238**

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☐ Correspondence address below

Name

**28120**

PATENT TRADEMARK OFFICE

Address

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State

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Name (Print/Type)

Matthew P. Vincent

Registration No. (Attorney/Agent)

36,709

Signature

Date

02/28/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on  
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC  
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Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mel Epstein  
Kjesten A. Wiig

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Docket No.: NIIN-P02-010

Appln. No. Not yet assigned

Filing Date: February 28, 2002

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For: USE OF METHYLPHENIDATE COMPOUNDS TO ENHANCE MEMORY

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

**Certification of Express Mailing Under 37 CFR 1.10**

I hereby certify that the enclosed patent application, consisting of:  
Transmittal letter (1 p.); 74 pages of specification; 14 pages of claims; one page of abstract; 11  
pages of drawings; (one) page of unexecuted declaration/power of attorney; Certification of  
Express Mailing Under 37 CFR 1.10 (1 p.); and postpaid return postcard, are being deposited by  
me with the United States Postal Service "Express Mail Post Office to Addressee" service,  
Mailing Label No. **EL920 874 696US**, under 37 CFR 1.10 on the date indicated below and is  
addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C.  
20231.

February 28, 2002

Philip Fantasia

Printed name:

2003220-222001